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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration
Submitted
with Initial
Filing

OR

☒ Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

| | |
|--------------------------|-----------------------------|
| Attorney Docket Number | GENE0116 |
| First Named Inventor | William Steven Lanier t al. |
| COMPLETE IF KNOWN | |
| Application Number | 10 / 054,850 |
| Filing Date | 01/25/2002 |
| Art Unit | 1754 |
| Examiner Name | |

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Process and system to reduce mercury emission

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 01/25/2002

as United States Application Number or PCT International

Application Number 10/054,850

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? | |
|--|---------|-------------------------------------|--------------------------|--------------------------|--------------------------|
| | | | | YES | NO |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

(Page 1 of 2)

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DECLARATION — Utility or Design Patent Application

| | | | | | | | |
|--|--|--------------------------------------|-----------|---|--|--|--|
| Direct all correspondence to: <input checked="" type="checkbox"/> | | Customer Number or Bar Code Label | | 000025101 | | OR <input type="checkbox"/> Correspondence address below | |
| Name | | | | | | | |
| Address | | | | | | | |
| City | | | | State | | ZIP | |
| Country | | | Telephone | | | Fax | |
| <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p> | | | | | | | |
| NAME OF SOLE OR FIRST INVENTOR : | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle (if any)) | | | | Family Name or Surname | | | |
| William Steven | | | | Lanier | | | |
| Inventor's Signature | | | | William Steven Lanier | | Date 3/11/02 | |
| Residence: City | | Durham | | State | | NC | |
| Country | | USA | | Citizenship | | USA | |
| Mailing Address 2509 Lanier Place | | | | | | | |
| City | | Durham | | State | | NC | |
| ZIP | | 27705 | | Country | | USA | |
| NAME OF SECOND INVENTOR: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle (if any)) | | | | Family Name or Surname | | | |
| Charles M. | | | | Booth | | | |
| Inventor's Signature | | | | Charles M. Booth | | Date 3/12/0 | |
| Residence: City | | Raleigh | | State | | NC | |
| Country | | USA | | Citizenship | | USA | |
| Mailing Address 6608 Champaign Place | | | | | | | |
| City | | Raleigh | | State | | NC | |
| ZIP | | 27615 | | Country | | USA | |
| <input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto. | | | | | | | |

Please type a plus sign (+) inside this box ☒


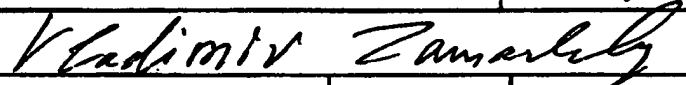
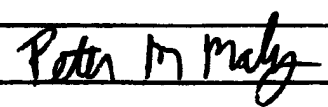
PTO/SB/02A (11-00)

Approved for use through 10/31/2002. OMB 0861-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | |
|--------------------|---|
| DECLARATION | ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>1</u> of <u>2</u> |
|--------------------|---|

| | | | |
|---|----------|---|-----------------|
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle (if any)) | | Family Name or Surname | |
| Vitali V. | | Lissianski | |
| Inventor's Signature  | | Date <u>3/11/2002</u> | |
| Residence: City San Juan Capistrano | State CA | Country USA | Citizenship USA |
| Mailing Address 27596 Via Fortuna | | | |
| Mailing Address | | | |
| City San Juan Capistrano | State CA | ZIP 92675 | Country USA |
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle (if any)) | | Family Name or Surname | |
| Vladimir M. | | Zamansky | |
| Inventor's Signature  | | Date <u>2/26/02</u> | |
| Residence: City Oceanside | State CA | Country USA | Citizenship USA |
| Mailing Address 25539 Ivy Road | | | |
| Mailing Address | | | |
| City Oceanside | State CA | ZIP 92054 | Country USA |
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle (if any)) | | Family Name or Surname | |
| Peter M. | | Maly | |
| Inventor's Signature  | | Date <u>3/1/02</u> | |
| Residence: City Lake Forest | State CA | Country USA | Citizenship USA |
| Mailing Address 21492 Coralita | | | |
| Mailing Address | | | |
| City Lake Forest | State CA | ZIP 92630 | Country USA |

Burdens Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☒

PTO/SB/02A (11-00)

Approved for use through 10/31/2002. OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 2 of 2

| | | | |
|--|--------------|---|-----|
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle (if any)) | | Family Name or Surname | |
| William Randall | | Seeker | |
| Inventor's Signature <i>Wm Randall Seeker</i> | | Date <i>3/6/02</i> | |
| Residence: City | San Clemente | State | CA |
| Country | USA | | |
| Citizenship | USA | | |
| Mailing Address 711 Calle Brisa | | | |
| Mailing Address | | | |
| City | San Clemente | State | CA |
| ZIP | 92673 | Country | USA |
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle (if any)) | | Family Name or Surname | |
| | | | |
| Inventor's Signature | | Date | |
| Residence: City | | State | |
| Country | | | |
| Citizenship | | | |
| Mailing Address | | | |
| Mailing Address | | | |
| City | | State | |
| ZIP | | Country | |
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle (if any)) | | Family Name or Surname | |
| | | | |
| Inventor's Signature | | Date | |
| Residence: City | | State | |
| Country | | | |
| Citizenship | | | |
| Mailing Address | | | |
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| City | | State | |
| ZIP | | Country | |

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PTO/SB/81 (02-01)

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

| | |
|------------------------|------------------------------|
| Application Number | 10/054,850 |
| Filing Date | 01/25/2002 |
| First Named Inventor | William Steven Lanier et al. |
| Title | Process and system to reduce |
| Group Art Unit | 1754 |
| Examiner Name | |
| Attorney Docket Number | GENE0116 |

I hereby appoint:

☒ Practitioners at Customer Number

000025101 →

Place Customer
Number Bar Code
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☐ Practitioner(s) named below:

| Name | Registration Number |
|--------------------|---------------------|
| Philip D. Freedman | 24,163 |
| | |
| | |
| | |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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City

State

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Fax

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

| | |
|-----------|------------------------------|
| Name | William Steven Lanier |
| Signature | <i>William Steven Lanier</i> |
| Date | March 18, 2002 |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of forms are submitted.

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PTO/SB/61 (02-01)

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| | |
|------------------------|---------------------------------|
| Application Number | 10/054,850 |
| Filing Date | 01/25/2002 |
| First Named Inventor | William Steven Lanier |
| Title | Process and system to reduce... |
| Group Art Unit | 1754 |
| Examiner Name | |
| Attorney Docket Number | GENE0116 |

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Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Charles M. Booth

Signature

Date

March 12 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 6 forms are submitted.

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| Attorney Docket Number | GENE0116 |

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☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Vitali V. Lisianski

Signature

Date

3/11/2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 6 forms are submitted.

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| Filing Date | 01/25/2002 |
| First Named Inventor | William Steven Lanier et al. |
| Title | Process and system to reduce |
| Group Art Unit | 1754 |
| Examiner Name | |
| Attorney Docket Number | GENE0116 |

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| Name | Registration Number |
|--------------------|---------------------|
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☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

| | |
|-----------|--------------------------|
| Name | Vladimir M. Zamansky |
| Signature | <i>Vladimir Zamansky</i> |
| Date | 2/26/02 |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of _____ forms are submitted.

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| Filing Date | 01/25/2002 |
| First Named Inventor | William Steven Lanier |
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| Examiner Name | |
| Attorney Docket Number | GENE0116 |

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☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

| | |
|-----------|---------------------|
| Name | Peter M. Maly |
| Signature | <i>Peter M Maly</i> |
| Date | 3/1/02 |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 6 forms are submitted.

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| Attorney Docket Number | GENE0116 |

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000025101

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☐ Practitioner(s) named below:

| Name | Registration Number |
|--------------------|---------------------|
| Philip D. Freedman | 24,163 |
| | |
| | |

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Fax

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

| | |
|-----------|-------------------------------|
| Name | William Randall Seeker |
| Signature | <i>William Randall Seeker</i> |
| Date | 3-11-02 |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 9 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the content of this form are invited to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, William Steven Lanier of 2509 Lanier Place, Durham, NC 27705; Charles M. Booth of 6608 Champaign Place, Raleigh, NC 27615; Vitali V. Lissianski of 27596 Via Fortuna, San Juan Capistrano, CA 92675; Vladimir M. Zamansky of 25539 Ivy Road, Oceanside, CA 92054; Peter M. Maly of 21492 Coralita, Lake Forest, CA 92630; and William Randall Seeker of 711 Calle Brisa, San Clemente, CA 92673, do sell and assign to General Electric Company, a New York Corporation, its successors and assigns, the entire right, title and interest in and to the improvements in PROCESS AND SYSTEM TO REDUCE MERCURY EMISSION invented by us, as described in the application for United States Patent filed on January 25, 2002 as Serial Number 10/054,850 and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

March 11, 2002
Date

William Steven Lanier
WILLIAM STEVEN LANIER

Witnessed by:

Name: Cathy A. Maly

Date: 3/11/02

Name: Pat Chel

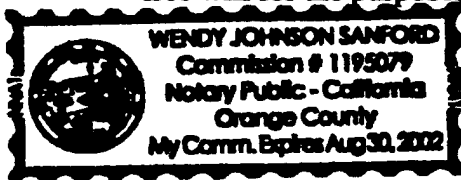
Date: 3/11/02

STATE OF California
~~North Carolina~~

County of
Orange

ss):

This 11th day of March, 2002, before me personally came the above-named, WILLIAM STEVEN LANIER, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.



Wendy Johnson Sanford
Notary Public

My Commission Expires:

8/30/2002

March 12, 2002
Date

Charles M. Booth
CHARLES M. BOOTH

Witnessed by:

Name: Stella L. LelandDate: 3/12/02Name: Allen J. LelandDate: 3/12/02

STATE OF North Carolina)

County of
Wake

ss):

This 12th day of March, 2002, before me personally came the above-named, CHARLES M. BOOTH, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Seal J. Carly
Notary Public

My Commission Expires:

18 Oct 2006

3/01/02
Date

Vladimir Zamansky
VLADIMIR M. ZAMANSKY

Witnessed by:

Name: Malcolm P. Chuladeke

Date: 3-1-02

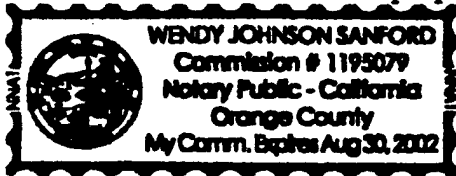
Name: Vitali Lissianski

Date: 3/1/2002

STATE OF California)
County of
Orange

ss):

This 1st day of March, 2002, before me personally came the above-named, VLADIMIR M. ZAMANSKY, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.



Wendy Johnson Sanford
Notary Public
8/30/02

My Commission Expires:

3/1/02
Date

Peter M. Malý
PETER M. MALY

Witnessed by:

Name: Wong J. Plager

Date: 03/01/02

Name: Orlan A. Choledeker

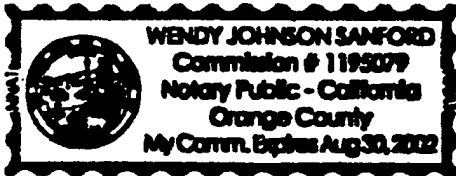
Date: 03/01/02

STATE OF California)

County of
Orange

ss):

This 1st day of March, 2002, before me personally came the above-named, PETER M. MALY, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.



My Commission Expires:

Wendy Johnson Sanford
Notary Public
8/30/2002

3/06/02
Date

William Randall Seeker
WILLIAM RANDALL SEEKER

Witnessed by:

Name: Wendy Johnson Sanford
Name: Cathy A. May

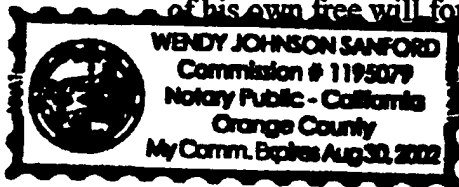
Date: 03/06/02Date: 3/6/02

STATE OF California)

County of
Orange

ss):

This 6th day of March, 2002, before me personally came the above-named,
WILLIAM RANDALL SEEKER, to me personally known as the individual who
executed the foregoing assignment, who acknowledged to me that he executed the same
of his own free will for the purposes therein set forth.



Wendy Johnson Sanford
Notary Public

My Commission Expires:

8/30/02